

Please type a plus sign (+) inside this box → ☒(Modified) PTO/SB/21 (6-98)
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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

11

Application Number

09/760,388

Filing Date

January 10, 2001

First Named Inventor

Juha Punnonen

Group Art Unit

1645

Examiner Name

Unassigned

Attorney Docket Number

02-102320US

ENCLOSURES (check all that apply)

Fee Transmittal Form



Fee Attached



Amendment / Response



After Final



Affidavits/declaration(s)



Extension of Time Request



Express Abandonment Request



Information Disclosure Statement



Certified Copy of Priority Document(s)

Response to Missing Parts/
Incomplete ApplicationResponse to Missing
Parts under 37 CFR
1.52 or 1.53Assignment Papers
(for an Application)

Drawing(s)



Licensing-related Papers

Petition Routing Slip (PTO/SB/69)
and Accompanying PetitionPetition to Convert to a
Provisional ApplicationPower of Attorney, Revocation
Change of Correspondence
Address

Terminal Disclaimer



Small Entity Statement



Request for Refund

After Allowance Communication
to GroupAppeal Communication to Board
of Appeals and InterferencesAppeal Communication to Group
(Appeal Notice, Brief, Reply Brief)

Proprietary Information



Status Letter

Additional Enclosure(s)
(please identify below):Declaration, notice of
missing parts, statement
under 37 CFR 3.73(b), and,
receipt acknowledgment
postcard**Authorization to Charge Deposit Account**

Please charge Deposit Account No. 50-0893 for any additional fees associated with this paper or during the pendency of this application, including any extensions of time for consideration of the documents enclosed.

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENTFirm
or
Individual name

Jonathan Alan Quine Reg. No. 41,261 The Law Offices of Jonathan Alan Quine

Signature

Jonathan Al. Quine

Date

May 29, 2001

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: May 29, 2001

Typed or printed name

Alexandra Allison

Signature

Alexandra Allison

Date

May 29, 2001

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.
Small entity payments must be supported by a small entity statement,
otherwise large entity fees must be paid. See Forms PTO/5B/09-12.

TOTAL AMOUNT OF PAYMENT (\$) 2702.00

Complete If Known

Application Number	09/760,388
Filing Date	January 10, 2001
First Named Inventor	Juha Punnonen
Examiner Name	Unassigned
Group / Art Unit	1645
Attorney Docket No.	02-102320US

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 50-0893
Deposit Account Name Law Offices of Jonathan Alan Quine

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

2. ☐ Payment Enclosed:
☐ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
101 710	201 355	Utility filing fee	710
106 310	206 155	Design filing fee	
107 480	207 240	Plant filing fee	
108 690	208 345	Reissue filing fee	
114 150	214 75	Provisional filing fee	

SUBTOTAL (1) (\$) 710

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
79	20** = 59	18	1062
13	3** = 10	80	800
Multiple Dependent			

**or number previously paid, if greater; For Reissues, see below

Large Entity Small Entity

Large Entity Fee Code	Small Entity Fee Code	Fee Description
103 18	203 9	Claims in excess of 20
102 80	202 40	Independent claims in excess of 3
104 270	204 135	Multiple dependent claim, if not paid
109 78	209 39	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 1862

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	130
127 50	227 25	Surcharge - late provisional filing fee or cover sheet.	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 390	216 195	Extension for reply within second month	
117 890	217 445	Extension for reply within third month	
118 139	218 695	Extension for reply within fourth month	
128 0	228 945	Extension for reply within fifth month	
119 310	219 155	Notice of Appeal	
120 300	220 150	Filing a brief in support of an appeal	
121 260	221 130	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1240	241 605	Petition to revive - unintentional	
142 1240	242 605	Utility issue fee (or reissue)	
143 430	243 215	Design issue fee	
144 580	244 290	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Petitions related to provisional applications	
126 240	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 690	246 345	Filing a submission after final rejection (37 CFR 1.129(a))	
149 690	249 345	For each additional invention to be examined (37 CFR 1.129(b))	
Other fee (specify) _____			
Other fee (specify) _____			

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 130

SUBMITTED BY

Typed or Printed Name Jonathan Alan Quine

Signature Jonathan Alan Quine

Date 5/29/01

Complete (if applicable)

Reg. Number 41,261

Deposit Account User ID

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on this date: May 29, 2001

Typed or Printed Name Alexandra Allison

Signature Alexandra Allison

Date May 29, 2001



STATEMENT UNDER 37 CFR 3.73(b)

Applicant: Juha Punnonen, et al.

Application No.: 09/760,388

Filed: January 10, 2001

Entitled: MONOCYTE-DERIVED DENDRITIC CELL SUBSETS

Maxygen, Inc.

, a

corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of an undivided part interest

in the patent application identified above by virtue of either:

A. [X] An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel __, Frame __, or for which a copy thereof is attached.

OR

B. [] A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at Reel ____, Frame ____, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at Reel ____, Frame ____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at Reel ____, Frame ____, or for which a copy thereof is attached.

[] Additional documents in the chain of title are listed on a supplemental sheet

[X] Copies of assignments or other documents in the chain of title are attached.

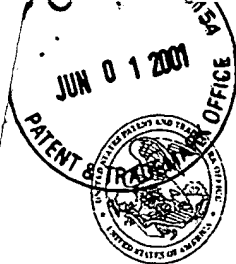
The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

May 8, 2001
Date

Michael S. Rabson
Signature

Michael S. Rabson
Typed or printed name

General Counsel
Title



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/760,388	01/10/2001	Juha Punnonen	02-102320US

CONFIRMATION NO. 2485

22798
LAW OFFICES OF JONATHAN ALAN QUINE
P O BOX 458
ALAMEDA, CA 94501

FORMALITIES LETTER



OC00000005927939

Date Mailed: 04/03/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1864.
 - \$954 for 53 total claims over 20.
 - \$640 for 8 independent claims over 3.
 - \$270 for multiple dependent claim surcharge.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2704.

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09760388
500893
00000001
710.00 CH
1062.00 CH
600.00 CH
130.00 CH



I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:
Assistant Commissioner for Patents,
Attn: Box Missing Parts,
Washington, D.C. 20231, on May 29, 2001

LAW OFFICES OF JONATHAN ALAN QUINE

By Alexandra Allison
Alexandra Allison

Attorney Docket No. 02-102320US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Juha Punnonen et al.

Application No.: 09/760,388

Filed: January 10, 2001

For: **MONOCYTE-DERIVED DENDRITIC CELL
SUBSETS**

Examiner: Unassigned

Art Unit: 1645

TRANSMITTAL LETTER - RESPONSE
TO NOTICE OF MISSING PARTS

Attn: Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Pursuant to the "Notice to File Missing Parts of Application - Filing Date
Granted" dated June 3, 2001, enclosed are the following to be made of record in the above-
identified application:

- 1) Executed Declaration
- 2) Power of Attorney and Certificate of Assignee Under 37 C.F.R. § 3.73(b)
(+ **copy of Assignment - not for recordation-note: The Assignment for
recordation is being sent concurrently under separate cover to Box
Assignment, pursuant to the MPEP @ Page 300-M and Form PTO-
1619A)**)
- 3) Copy of Notice of Missing Parts
- 4) Petition for Extension of Time for 1 month Under 37 CFR 1.136(a)
- 5) Fee transmittal sheet
- 6) Transmittal Sheet
- 7) Receipt indication postcard

Please charge Deposit Account No. 50-0893 for the following fees:

Large entity: (a)	Filing Fee (§ 1.16(a)) (Large Entity)	\$ 710.00
(b)	Excess Claims Fees (§ 1.16(b), (c)):	
	79 - 20 = 59 x 18 =	\$1062.00
	13 - 3 = 10 x 80 =	\$ 800.00
(c)	Missing Parts Surcharge	\$ 130.00
TOTAL FEES TO BE CHARGED		\$ 2702.00

Applicant notes the presence of 59 claims over 20, as an amendment with new claims was filed on April 10, 2001. We have, therefore, calculated the fees accordingly.

The Commissioner is hereby authorized to charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to Deposit Account No. 50-0893.

Respectfully submitted,



Jonathan Alan Quine, J.D., Ph.D.
Reg. No. 41.261

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